<table>
<thead>
<tr>
<th>ID</th>
<th>Prefix Tag</th>
<th>Deficiency Description</th>
<th>Corrective Action</th>
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</thead>
<tbody>
<tr>
<td>N 728</td>
<td>1200-8-6-.05(6)(b)</td>
<td>Basic Services.</td>
<td>1) In-service with nurse # 1 and nurse # 5 who had left medication carts unlocked was conducted on 4/3/13 by the Director of Nursing. In service of all nurses on secured medications were conducted by the Director of Nursing and Assistant Director of Nursing on 4/5/13 &amp; 4/15/13.</td>
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<tr>
<td>N 728</td>
<td>1200-8-6-.06(6)(b)</td>
<td>Basic Services.</td>
<td>2) Medication Carts and Medication rooms was checked by the Director of Nursing and Assistant Director of Nursing for secured medications and secured carts on 4/4/13.</td>
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<td></td>
<td></td>
<td>(b) Such cabinets or drug rooms shall be kept securely locked when not in use, and the key must be in the possession of the supervising nurse or other authorized persons then on duty.</td>
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</tbody>
</table>

This Rule is not met as evidenced by:

Type C Pending Penalty #7

Tennessee Code Annotated 68-11-804(c): All internal and external medications and preparations intended for human use shall be stored separately. They shall be properly stored in medicine compartments, including cabinets on wheels, or drug rooms. Such cabinets or drug rooms shall be kept securely locked when not in use, and the key must be in the possession of the supervising nurse or other authorized persons then on duty.

Based on policy review, observation and interview, it was determined the facility failed to ensure the medication carts were kept locked when unattended and out of the nurses's view for 2 of 3 (100 and 200 hall) medication carts.

The findings included:

1. Review of the facility's "MEDICATION STORAGE" policy documented, "...Medications must be properly stored in medication rooms or medication carts and must be securely locked when not in use...."

2. Observations on the 100 hall on 4/2/13 at 4:53 PM, Nurse #5 left the 100 hall medication cart unlocked, unattended and out of view while
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administering medications to a resident in room 102. At 4:55 PM, the Director of Nursing (DON) walked by and locked the cart.

During an interview on the 100 hall on 4/2/13 at 4:58 PM, Nurse #5 was asked should the medication cart be locked when she is not at the cart. Nurse #5 stated, "Yes, it [100 hall medication cart] should be locked..."

During an interview at the nurses station on 4/2/13 at 5:01 PM, the DON was asked what were her expectations of the charge nurses in regard to the medication carts. The DON stated, "...Make sure they lock the cart when they leave it..."

3. Observations on the 200 hall on 4/1/13 at 11:56 AM, revealed the 200 hall medication cart was left unlocked, unattended and out of the nurse's view.

During an interview on the 200 hall on 4/1/13 at 11:56 AM, the Assistant Director of Nursing (ADON) stated, "Was that [200 hall medication cart] left unlocked..." The ADON verified the 200 hall medication cart was left unlocked, unattended and out of the nurse’s view.

During an interview on the 200 hall on 4/1/13 at 12:05 PM, Licensed Practical Nurse LPN #1 stated, "Ma'am, my lock is broken I put it on there [referring to log] yesterday... I guess I didn't push it [lock] in hard enough..."

(9) Food and Dietetic Services.

(i) Food shall be protected from dust, files,
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rodents, unnecessary handling, droplet infection, overhead leakage and other sources of contamination whether in storage or while being prepared and served and/or transported through hallways.

This Rule is not met as evidenced by:
Type C Pending Penalty #22

Tennessee Code Annotated 68-11-804(c)22:
Food shall be protected from dust, flies, rodents, unnecessary handling, droplet infection, overhead leakage and other sources of contamination, whether in storage or while being prepared and served and/or transported through hallways.

Based observation and interview, it was determined the facility failed to ensure the nourishment refrigerator was free from expired food items and frozen items were kept frozen. The facility failed to ensure items in the nourishment room were labeled and dated when opened.

The findings included:

1. Observations in the 200 hall nourishment room, on 4/3/13 at 4:30 PM, revealed the following in the refrigerator:
   a. A carton of orange juice with a best buy date of 2/28/13.
   b. A carton of yogurt with an expiration date of November 21, 2011.
   c. A carton of melted orange sherbet in back of the freezer section of the refrigerator.
   d. A carton of semi-frozen vanilla ice cream in the freezer section of the refrigerator.

N 767 1200-6-6.06(9)(i) Basic Services

(9) Food and Dietetic Services

(i) Food shall be protected from dust, flies, rodents, unnecessary handling, droplet infection, overhead leakage and other sources of contamination whether in storage or while being prepared and served and/or transported through hallways.

Corrective Action

1) On 4/4/13, nursing staff cleaned out and defrosted refrigerator removing all expired and unfrozen items.

2) The Director of Nursing in serviced staff on 4/4/13, 4/5/13 and 4/15/13 nursing staff on cleaning and checking for dates of the nourishment refrigerator nightly and discarding any undated/open containers and expired items located in the refrigerator.

3) The Registered Dietician or dietary designee will also monitor nourishment refrigerator weekly for properly labeled items and any expired items. Department head staff to check refrigerator randomly daily for expired meds.

4) The Q.A. Committee, consisting of Medical Director, Administrator, Director of Nursing, Assistant Director of Nursing, MDS Coordinator, Staffing Coordinator, Medical Records, Registered
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During an interview in the nourishment room on 4/3/13 at 4:30 PM, the Registered Dietician verified the items were outdated.

During an interview in the nourishment room on 4/3/13 at 5:00 PM, Nurse #2 verified there were expired items in the refrigerator.

During an interview in the beauty shop on 4/3/13 at 5:10 PM, the Assistant Director of Nursing (ADON) was asked who was responsible for cleaning the nourishment refrigerator and for removing expired / outdated items. The ADON stated, "The night shift responsible for cleaning it. They are suppose to clean and throw out old or outdated items..."

2. Observations in the nourishment room on 4/3/13 at 4:30 PM, revealed an opened bottle of a High Protein shake was not dated.

During an interview in the beauty shop on 4/3/13 at 5:10 PM, the ADON was then asked should undated items be in the refrigerator. The ADON stated, "Not suppose to be that way."

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Dietician, Social Worker, Maintenance Supervisor, Activities Coordinator, will monitor for compliance through review of facility rounds and documented audits for three months. If compliance is not met, facility staff will be re-in serviced and audits will continue until substantial compliance is met.

Completed by 4/15/13