<table>
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<tr>
<th>ID PREFIX TAG</th>
<th>SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)</th>
<th>ID PREFIX TAG</th>
<th>PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)</th>
<th>COMPLETE DATE</th>
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<td>N 669</td>
<td>1200-8-6-06(4)(c)4 Basic Services (4) Nursing Services. (c) The Director of Nursing shall have the following responsibilities: 4. Notify the resident's physician when medically indicated.</td>
<td>N 669</td>
<td>1200-8-6-06(4)(c)4 Basic Services, ID Prefix Tag N 669 REQUIREMENT: The facility will ensure that staff notifies physicians of emergency transfers. CORRECTIVE ACTION: 1. Any resident with an emergency transfer has the potential to be affected. Actions put into place: On 11-04-10, Nurse management audited records of those patients who were currently transferred out of the facility to ensure that the MD was notified and documented in the medical record. Result of audit: no negative findings. 2. The DON in-serviced licensed nurses on 11-05-10 and again on 11-10-10 regarding the notification of MDs, and documentation for emergency transfers. This in-service will be repeated again on 11-15-10. 3. Nurse management will audit patient emergency transfers to ensure proper notification and documentation 3 times per week for 4 weeks. 4. For continued compliance, the DON will monitor results of audit findings and report results to the Q&amp;A Committee for months of November and December 2010.</td>
<td>11-10-10</td>
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This Rule Is not met as evidenced by: Type C Pending Penalty #4
Tennessee Code Annotated 88-11-04(c)4: Nursing homes shall notify the patient's physician of the condition of a patient, when it is medically indicated.

Based on policy review, medical record review and interview, it was determined the facility failed to ensure staff notified the physician of an emergency transfer for 2 of 22 (Residents #21 and 22) sampled residents.

The findings included:
1. Review of the facility's "Making an Emergency Transfer or Discharge" policy documented. "Should it become necessary to make an emergency transfer or discharge to a hospital or other related institution, our facility will implement the following procedures: a. Notify the resident's Attending Physician..."
2. Medical record review for Resident #21 documented an admission date of 5/18/04 with a readmission date of 8/23/10 with diagnoses of Congestive Heart Failure, Pneumonia, Urinary Tract Infection, Dementia, Osteoporosis, Venous
Thrombosis, Depression, Muscle Weakness, Abnormal Gait, Muscle Atrophy and Hypertension. Review of a Nurse's Note dated 10/5/10 documented, "...called to Rm (room) per staff, upon entry resdt, [resident] in bed... unresponsive to verbal + [and] tactile stimuli... unable to obtain VLS [vital signs].: CPR [cardiopulmonary resuscitation] initiated... [named emergency management service] leaving facility w/ [with] resident-destination [named hospital] CPR still in progress." There was no documentation in the medical record that the physician had been notified of Resident #21's emergency transfer.

During an interview in the quiet room on 11/3/10 at 10:30 AM, the Director of Nursing (DON) confirmed there was no documentation the physician was notified. The DON stated, "It [physician notification] is not documented, I know it should be."

Medical record review for Resident #22 documented an admission date of 10/17/08 and a readmission date of 5/14/09 with diagnoses of Dementia, Depressive Psychosis, Anxiety, Hypertension, Congestive Heart Failure and Arthritis. Review of the Nurse's Notes dated 8/4/10 documented, "...transferred pt [patient] to [named hospital] ER [Emergency Room]..." There was no documentation in the medical record that the physician was notified of Resident #22's emergency transfer.

During an interview in the quiet room on 11/2/10 at 5:05 PM, the DON was asked if the physician was contacted when Resident #22 was sent to the ER. The DON reviewed Resident #22's medical record and stated, "...I don't see in the record that the doctor was notified."